

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: UNGER

Application No.: 09/909,765

Filed: 07/19/2001

Title: METHOD AND APPARATUS FOR COPY

PROTECTING VIDEO CONTENT AND PRODUCING A REDUCED QUALITY

REPRODUCTION OF VIDEO CONTENT FOR

PERSONAL USE

Attorney Docket No.: SONYP007

Examiner: UNKNOWN

Group: 2614

RECEIVED

Technology Center 2600

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Office of Initial Patent Examination, Qustomer Service Center, Commissioner for Patents, Washington, DC 20231 on September 18, 2001.

Signed:

Sandra Halliwell

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Commissioner for Patents Washington, DC 20231

Sir:

. Enclosed is a copy of the Filing Receipt for the above-identified patent application with the requested change noted in red thereon. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the Applicant's address from "CANADA" to --CA--.

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 500388 (Order No. SONYP007).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

Francis T. Kalinski II Reg. No. 44,177

P.O. Box 778 Berkeley, CA 94704-0778



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspta.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/909 765 | 07/19/2001 | 2614 | 872 SC | NYP007/50P42 | 87 6 | 29 | 3 |

CONFIRMATION NO. 2505

022434 **BEYER WEAVER & THOMAS LLP** P.O. BOX 778 BERKELEY, CA 94704-0778



FILING RECEIPT OC000000006523422°

Date Mailed: 09/07/2001

RECEIVED

Technology Center 2600

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Allan Unger, El Cajon, GANADA;

Assignment For Published Patent Application

Sony Corporation and Sony Electronics Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/06/2001

Projected Publication Date: 01/23/2003

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for copy protecting video content and producing a reduced quality reproduction of video content for personal use

Preliminary Class

348





Data entry by : HA, THUY

Team : OIPE

Date: 09/07/2001

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

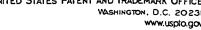
Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE VASHINGTON, D.C. 20231 www.uspto.gov





CONFIRMATION NO. 2505

Page 1 of 1

| SERIAL NUMBE 09/909,765 | ĒR | FILING DATE 07/19/2001 RULE | C | CLASS 348 | GROUP ART UNIT 2614 | | ATTORNEY DOCKET NO. SONYP007/50P4287 | | | | |
|---|---|-----------------------------------|----------|---------------------------|------------------------|---------------------------------------|--|--------|----------------------------|--|--|
| APPLICANTS Robert Allar | n Ung | er, El Cajon, CA; | | | • | • | | | | | |
| ** CONTINUING DATA ********************************** | | | | | | | RECEIVE | | | | |
| ** CONTINUING DATA ********************************** | | | | | | | | | 2001 | | |
| ** FOREIGN APPLICATIONS ************************************ | | | | | | | | | iter 2600 | | |
| Foreign Priority claimed 35 USC 119 (a-d) condi met Verified and Acknowledged | USC 119 (a-d) conditions yes no Met after Allowance | | | STATE OR COUNTRY CA | SHEETS DRAWING 6 | | | | INDEPENDENT CLAIMS 3 | | |
| ADDRESS 022434 | , | | | | , , , | . , | | | | | |
| TITLE Method and appar content for person | | for copy protecting vid | eo conte | ent and produc | ing a re | duced | quality re | eprodu | iction of video | | |
| | FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: | | | | | ☐ All Fees | | | | | |
| | | | | | | ☐ 1.16 Fees (Filing) | | | | | |
| RECEIVED | | | | | | 1.17 Fees (Processing Ext. of time) | | | | | |
| 872 | | | | | | ☐ 1.18 Fees (Issue) | | | | | |
| | | | | | | □ Other | | | | | |
| | | | | | | ☐ Credit | | | | | |